

Lattice Exercises - Solutions

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Exercise 1 - Easy or difficult?

For each problem, determine if it is easy (polynomial complexity) or difficult to solve (exponential complexity) and justify by giving the algorithm if it exists (for $m \geq n$):

1. Given a lattice basis $\mathbf{B} \in \mathbb{Z}^{m \times n}$ and a vector $\mathbf{v} \in \mathbb{Z}^m$, decide if $\mathbf{v} \in \Lambda(\mathbf{B})$.

Solution: This problem is **easy** (polynomial complexity). To check if $\mathbf{v} \in \Lambda(\mathbf{B})$, we need to determine if there exists an integer vector $\mathbf{x} \in \mathbb{Z}^n$ such that $\mathbf{B}\mathbf{x} = \mathbf{v}$.

Since \mathbf{B} generates a lattice, it has full column rank. We solve the system $\mathbf{B}\mathbf{x} = \mathbf{v}$ over \mathbb{Q} using standard Gaussian elimination. If the system has no solution over \mathbb{Q} , then certainly $\mathbf{v} \notin \Lambda(\mathbf{B})$. If a unique solution $\mathbf{x} \in \mathbb{Q}^n$ exists (which is guaranteed for full column rank), we check whether all components of \mathbf{x} are integers. If $\mathbf{x} \in \mathbb{Z}^n$, then $\mathbf{v} \in \Lambda(\mathbf{B})$; otherwise $\mathbf{v} \notin \Lambda(\mathbf{B})$.

The complexity is $O(mn^2)$ for Gaussian elimination over \mathbb{Q} , plus $O(n)$ for checking integrality.

2. Given $\mathbf{B}_1, \mathbf{B}_2 \in \mathbb{Z}^{m \times n}$, decide if $\Lambda(\mathbf{B}_1) = \Lambda(\mathbf{B}_2)$.

Solution: This problem is **easy** (polynomial complexity). To check if $\Lambda(\mathbf{B}_1) = \Lambda(\mathbf{B}_2)$, we verify that each basis generates the same lattice by checking mutual containment.

Two lattices are equal if and only if each is contained in the other. Therefore:

- (a) Check if each column of \mathbf{B}_1 belongs to $\Lambda(\mathbf{B}_2)$ using the algorithm from Exercise 1.1
- (b) Check if each column of \mathbf{B}_2 belongs to $\Lambda(\mathbf{B}_1)$ using the same algorithm
- (c) If both conditions hold, then $\Lambda(\mathbf{B}_1) = \Lambda(\mathbf{B}_2)$

Since we perform $2n$ membership tests, each taking $O(mn^2)$ time, the total complexity is $O(mn^3)$.

3. Given an integer matrix $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$, compute a basis for the lattice $\{\mathbf{x} \in \mathbb{Z}_q^m : \mathbf{x}^T \mathbf{A} = \mathbf{0}\}$.

Solution: This problem is **easy** (polynomial complexity). Note that the lattice $\{\mathbf{x} \in \mathbb{Z}_q^m : \mathbf{x}^T \mathbf{A} = \mathbf{0}\}$ is equivalent to the orthogonal lattice $\Lambda_q^\perp(\mathbf{A}^T)$ where $\mathbf{A}^T \in \mathbb{Z}_q^{n \times m}$. A detailed algorithm for computing a basis of such orthogonal lattices is provided in Exercise 5.3, which shows how to efficiently construct a basis with the algorithm runs in polynomial time.

4. Given an integer matrix $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$, compute a basis for the lattice $\{\mathbf{x} \in \mathbb{Z}_q^m : \mathbf{x}^T \mathbf{A} = \mathbf{0}\}$ such that each vector of this basis has an euclidean norm bounded by $q/2\sqrt{n}$.

Solution: This problem is **difficult** (exponential complexity). While finding *some* basis for the lattice $\Lambda_q^\perp(\mathbf{A}) = \{\mathbf{x} \in \mathbb{Z}_q^m : \mathbf{x}^T \mathbf{A} = \mathbf{0} \bmod q\}$ is easy (as shown in Exercise 1.3), finding a basis with all vectors having Euclidean norm bounded by $\beta = q/(2\sqrt{n})$ is computationally hard.

This is precisely the Short Integer Solution (SIS) problem. By Proposition 5.7 from [GPV08], solving $\text{SIS}_{q,m,\beta}$ with $\beta = q/(2\sqrt{n})$ is as hard as approximating SIVP (Shortest Independent Vectors Problem) in the worst case to within $\gamma = \beta \cdot \tilde{O}(\sqrt{n})$ factors.

Specifically, with $\beta = q/(2\sqrt{n})$, we get:

$$\gamma = \frac{q}{2\sqrt{n}} \cdot \tilde{O}(\sqrt{n}) = \frac{q}{2} \cdot \tilde{O}(1)$$

Since q is typically polynomial in n (i.e., $q = \text{poly}(n)$), we have $\gamma = \text{poly}(n)$. According to the complexity of lattice problems, solving SIVP_γ with $\gamma = \text{poly}(n)$ requires time $2^{\Omega(n)}$, which is exponential.

5. Given a basis \mathbf{C} , check if $\Lambda(\mathbf{C})$ is cyclic (i.e., for every lattice vector $\mathbf{x} \in \Lambda(\mathbf{C})$, all the vectors obtained by cyclically rotating the coordinates of \mathbf{x} also belong to the lattice).

Solution: This problem is **easy** (polynomial complexity). To check if $\Lambda(\mathbf{C})$ is cyclic, we need to verify that for every lattice vector $\mathbf{v} \in \Lambda(\mathbf{C})$, its cyclic rotation $\mathbf{T}(\mathbf{v})$ also belongs to the lattice, where \mathbf{T} is the cyclic permutation matrix that shifts coordinates: $(v_1, v_2, \dots, v_n) \mapsto (v_n, v_1, \dots, v_{n-1})$.

The key observation is that we only need to check this property for the basis vectors of \mathbf{C} . This is because if $\mathbf{T}(\mathbf{c}_i) \in \Lambda(\mathbf{C})$ for all basis vectors \mathbf{c}_i (columns of \mathbf{C}), then by linearity of \mathbf{T} :

- For any $\mathbf{v} = \sum_{i=1}^n a_i \mathbf{c}_i \in \Lambda(\mathbf{C})$ where $a_i \in \mathbb{Z}$
- We have $\mathbf{T}(\mathbf{v}) = \mathbf{T}(\sum_{i=1}^n a_i \mathbf{c}_i) = \sum_{i=1}^n a_i \mathbf{T}(\mathbf{c}_i)$
- Since each $\mathbf{T}(\mathbf{c}_i) \in \Lambda(\mathbf{C})$ and lattices are closed under integer linear combinations, we get $\mathbf{T}(\mathbf{v}) \in \Lambda(\mathbf{C})$

Therefore, our algorithm is:

- (a) For each column \mathbf{c}_i of the basis matrix \mathbf{C} :

- (b) Compute $\mathbf{T}(\mathbf{c}_i)$ (the cyclic rotation of \mathbf{c}_i)
- (c) Check if $\mathbf{T}(\mathbf{c}_i) \in \Lambda(\mathbf{C})$ by solving the system $\mathbf{C}\mathbf{x} = \mathbf{T}(\mathbf{c}_i)$ for integer $\mathbf{x} \in \mathbb{Z}^n$
- (d) If no integer solution exists for any \mathbf{c}_i , then the lattice is not cyclic

Step 3 uses the same algorithm as Exercise 1.1 (checking membership in a lattice). The total complexity is $O(n)$ times the complexity of Exercise 1.1, which gives us $O(mn^3)$.

6. Let $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$ be a uniformly sampled matrix with $m \geq 4n \log q$, and \mathbf{r} be uniformly sampled in $\{0, 1\}^m$. Given $(\mathbf{A}, \mathbf{r}^T \mathbf{A})$, find \mathbf{r} .

Solution: This problem is **difficult** (exponential complexity). By Lemma 5.1 from [GPV08], when $m \geq 2n \log q$, for all but a q^{-n} fraction of matrices $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$, the subset-sums of columns of \mathbf{A} generate \mathbb{Z}_q^n and stronger result in footnote 7 of [GPV08] state that a random subset-sum of \mathbf{A} 's columns is statistically close to uniform over \mathbb{Z}_q^n for almost all \mathbf{A} .

In our case, with $m \geq 4n \log q$ (which exceeds the requirement), the syndrome $\mathbf{r}^T \mathbf{A} \bmod q$ is statistically close to uniform over \mathbb{Z}_q^n . This means it reveals essentially no information about \mathbf{r} that could help narrow down the search space.

The only known algorithm is brute force:

- (a) For each possible $\mathbf{r}' \in \{0, 1\}^m$:
- (b) Compute $\mathbf{s}' = \mathbf{r}'^T \mathbf{A} \bmod q$
- (c) If $\mathbf{s}' = \mathbf{r}^T \mathbf{A}$, output \mathbf{r}' and halt

This algorithm has complexity $O(mn \cdot 2^m)$, which is exponential in m . The statistical closeness to uniform distribution ensures that no better algorithm exists, as the syndrome provides no useful structure to exploit.

7. Let $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$ be a uniformly sampled matrix with $m \geq 4n \log q$, and \mathbf{r} be uniformly sampled in $\{0, 1\}^n$. Given $(\mathbf{A}, \mathbf{A}\mathbf{r})$, find \mathbf{r} .

Solution: This problem is **easy** (polynomial complexity).

This is simply solving a linear system $\mathbf{A}\mathbf{x} = \mathbf{b}$ where $\mathbf{b} = \mathbf{A}\mathbf{r}$ is given. Since $m \geq 4n \log q \gg n$, the system is overdetermined (more equations than unknowns). With high probability over the choice of random \mathbf{A} , the matrix has full column rank, ensuring at most one solution exists.

The algorithm is:

- (a) Solve the linear system $\mathbf{A}\mathbf{x} = \mathbf{b}$ over \mathbb{Z}_q to find $\mathbf{x} \in \mathbb{Z}_q^n$
- (b) Check if $\mathbf{x} \in \{0, 1\}^n$
- (c) If yes, output $\mathbf{x} = \mathbf{r}$; otherwise, no valid solution exists

Step 1 can be done using Gaussian elimination, taking polynomial time $O(mn^2)$. Since \mathbf{A} is random with $m \gg n$, the solution (if it exists) is unique with overwhelming probability, and it must be the original \mathbf{r} since $\mathbf{A}\mathbf{r} = \mathbf{b}$.

Exercise 2 - Solving LWE in dimension 2 and 3

Solve (in \mathbb{Z}) the following linear systems of equations with noise, knowing that in each equation, the noise is in $\{0, 1\}$:

1.

$$\begin{aligned}x_1 + x_2 &\simeq 3 \\2x_1 + x_2 &\simeq 4 \\x_1 + 3x_2 &\simeq 4 \\-x_1 + x_2 &\simeq 1 \\3x_1 + 2x_2 &\simeq 5\end{aligned}$$

2.

$$\begin{aligned}2x_1 + x_2 + x_3 &\simeq 10 \\x_1 + 4x_2 + 3x_3 &\simeq 26 \\3x_1 + x_2 + 2x_3 &\simeq 13 \\x_1 + 2x_2 + 2x_3 &\simeq 15 \\2x_1 + 2x_2 + x_3 &\simeq 15\end{aligned}$$

Solution: We solve these noisy linear systems by reformulating them as Closest Vector Problem (CVP) instances and applying Kannan's embedding technique [Kan83].

For a system of noisy equations where each equation has the form $\mathbf{a}_i^T \mathbf{x} \simeq b_i$ with noise in $\{0, 1\}$, we can write:

$$\mathbf{A}\mathbf{x} = \mathbf{b} - \mathbf{e}$$

where \mathbf{A} is the coefficient matrix, \mathbf{b} is the vector of right-hand sides, and $\mathbf{e} \in \{0, 1\}^m$ is the unknown noise vector.

This is equivalent to finding the closest point in the lattice $\Lambda = \{\mathbf{A}\mathbf{y} : \mathbf{y} \in \mathbb{Z}^n\}$ to the target vector \mathbf{b} . The closest lattice point $\mathbf{A}\mathbf{x}^*$ will satisfy $\|\mathbf{A}\mathbf{x}^* - \mathbf{b}\|_\infty \leq 1$, ensuring all noise components are in $\{0, 1\}$.

Following Kannan's embedding method, we construct an extended lattice with basis:

$$\mathbf{B}' = \begin{pmatrix} \mathbf{I}_n & \mathbf{A}^T \\ \mathbf{0} & -\mathbf{b}^T \end{pmatrix}$$

and append an additional column $(\mathbf{0}, \dots, 0, M)^T$ where M is a large embedding parameter.

The short vector in this extended lattice has the form $(\mathbf{x}^*, 1)$ which, when multiplied by \mathbf{B}' , gives us $(\mathbf{x}^*, \mathbf{A}\mathbf{x}^* - \mathbf{b}, M)$. Since $\mathbf{A}\mathbf{x}^* - \mathbf{b} = -\mathbf{e}$ where $\mathbf{e} \in \{0, 1\}^m$, we can directly verify that each component of $\mathbf{A}\mathbf{x}^* - \mathbf{b}$ is in $\{-1, 0\}$.

Implementation in SageMath:

For system 2.1:

```

1 A = matrix(ZZ, [
2     [1, 1],
3     [2, 1],
4     [1, 3],
5     [-1, 1],
6     [3, 2]
7 ])
8 v = vector(ZZ, [3, 4, 4, 1, 5])
9
10 # Build the block matrix
11 M = block_matrix([
12     [identity_matrix(2), A.T],
13     [zero_matrix(1, 2), -matrix(ZZ, v)]
14 ])
15 M = M.augment(vector(ZZ, [0, 0, 2**64]))
16 M[:, 2:7] *= 2**32
17 M = M.LLL()
18 M[:, 2:7] /= 2**32
19
20 for row in M:
21     if abs(row[-1]) == 2**64:
22         x = row[0:2]
23         assert all(num in [0, 1] for num in (v - A*x))
24         print(f"{x=}")

```

This gives us $\mathbf{x} = (1, 1)$ with noise vector $\mathbf{e} = (1, 1, 0, 1, 0)$.

For system 2.2:

```

1 A = matrix(ZZ, [
2     [2, 1, 1],
3     [1, 4, 3],
4     [3, 1, 2],
5     [1, 2, 2],
6     [2, 2, 1]
7 ])
8 v = vector(ZZ, [10, 26, 13, 15, 15])
9
10 # Build the block matrix
11 M = block_matrix([
12     [identity_matrix(3), A.T],
13     [zero_matrix(1, 3), -matrix(ZZ, v)]
14 ])
15 M = M.augment(vector(ZZ, [0, 0, 0, 2**64]))
16 M[:, 3:8] *= 2**32
17 M = M.LLL()
18 M[:, 3:8] /= 2**32
19

```

```

20 for row in M:
21     if abs(row[-1]) == 2**64:
22         x = row[0:3]
23         assert all(num in [0, 1] for num in (v - A*x))
24         print(f"{x=}")

```

This gives us $\mathbf{x} = (2, 5, 1)$ with noise vector $\mathbf{e} = (0, 1, 0, 1, 0)$.

The scaling factors 2^{32} and 2^{64} are used to ensure numerical stability during LLL reduction while preserving the integer structure of the problem.

Verification: For both solutions, we verify that $\mathbf{Ax} + \mathbf{e} = \mathbf{b}$ where each component of \mathbf{e} is indeed in $\{0, 1\}$.

Exercise 3 - Reduction

1. Let $n \geq 1$ be an integer, show that there is a reduction from $\text{LWE}_{n,q,\alpha}$ for m samples to $\text{SIS}_{q,m,\beta}$. On which condition on α and β does it work?

Solution: We show a reduction from $\text{LWE}_{n,q,\alpha}$ (decision version) to $\text{SIS}_{q,m,\beta}$.

First, let us define the two problems precisely:

- **$\text{LWE}_{n,q,\alpha}$ (Decision):** Given $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$ and $\mathbf{v} \in \mathbb{Z}_q^m$, distinguish between:
 - Case 1: $\mathbf{v} = \mathbf{As} + \mathbf{e} \pmod{q}$ where $\mathbf{s} \leftarrow U(\mathbb{Z}_q^n)$ and $\mathbf{e} \leftarrow D_{\mathbb{Z}^m, \alpha q}$
 - Case 2: $\mathbf{v} \leftarrow U(\mathbb{Z}_q^m)$ (uniformly random)
- **$\text{SIS}_{q,m,\beta}$:** Given $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$, find a nonzero vector $\mathbf{w} \in \mathbb{Z}^m$ such that $\mathbf{A}^T \mathbf{w} = \mathbf{0} \pmod{q}$ and $\|\mathbf{w}\| \leq \beta$.

The Reduction: Given an LWE instance (\mathbf{A}, \mathbf{v}) , we use the SIS solver to distinguish whether \mathbf{v} is an LWE sample or uniformly random:

- (a) Use the $\text{SIS}_{q,m,\beta}$ solver on \mathbf{A} to obtain a short vector $\mathbf{w} \in \mathbb{Z}^m$ such that $\mathbf{A}^T \mathbf{w} = \mathbf{0} \pmod{q}$ and $\|\mathbf{w}\| \leq \beta$.
- (b) Compute the inner product $\langle \mathbf{v}, \mathbf{w} \rangle \pmod{q}$.
- (c) If $|\langle \mathbf{v}, \mathbf{w} \rangle| < q/10$, output "LWE sample"; otherwise output "uniform".

Analysis: The key observation is that:

- If $\mathbf{v} = \mathbf{As} + \mathbf{e}$, then

$$\langle \mathbf{v}, \mathbf{w} \rangle = \langle \mathbf{As} + \mathbf{e}, \mathbf{w} \rangle = \langle \mathbf{s}, \mathbf{A}^T \mathbf{w} \rangle + \langle \mathbf{e}, \mathbf{w} \rangle = 0 + \langle \mathbf{e}, \mathbf{w} \rangle \pmod{q}$$

- If \mathbf{v} is uniform, then $\langle \mathbf{v}, \mathbf{w} \rangle$ is uniformly distributed over \mathbb{Z}_q .

To bound $|\langle \mathbf{e}, \mathbf{w} \rangle|$, we need know the bound $\|\mathbf{e}\|$ where $\mathbf{e} \leftarrow D_{\mathbb{Z}^m, \alpha q}$.

For negligible ϵ , by Lemma 3.1 from [GPV08], the smoothing parameter of \mathbb{Z}^m satisfies:

$$\eta_\epsilon(\mathbb{Z}^m) \leq \text{bl}(\mathbb{Z}^m) \cdot \omega(\sqrt{\log m}) = 1 \cdot \omega(\sqrt{\log m}) = \omega(\sqrt{\log m})$$

If we set $\alpha q \geq \omega(\sqrt{\log m})$, then $\alpha q \geq \eta_\epsilon(\mathbb{Z}^m)$.

By Lemma 2.9 from [GPV08], when $s = \alpha q \geq \eta_\epsilon(\mathbb{Z}^m)$, for $\mathbf{e} \leftarrow D_{\mathbb{Z}^m, \alpha q}$ we have:

$$\Pr[\|\mathbf{e}\| > \alpha q \sqrt{m}] \leq \frac{1 + \epsilon}{1 - \epsilon} \cdot 2^{-m}$$

which is negligible. Therefore, with overwhelming probability, $\|\mathbf{e}\| \leq \alpha q \sqrt{m}$.

Consequently:

$$|\langle \mathbf{e}, \mathbf{w} \rangle| \leq \|\mathbf{e}\| \cdot \|\mathbf{w}\| \leq \alpha q \sqrt{m} \cdot \beta = \alpha \beta q \sqrt{m}$$

For the reduction to successfully distinguish between the two cases, we need $\alpha \beta q \sqrt{m} < q/10$, which gives us:

Parameter Condition: The reduction works when

$$\boxed{\alpha \beta < \frac{1}{10\sqrt{m}}}$$

assuming $\alpha q \geq \omega(\sqrt{\log m})$ hold.

Under this condition, LWE samples will have $|\langle \mathbf{v}, \mathbf{w} \rangle| = |\langle \mathbf{e}, \mathbf{w} \rangle| < q/10$, while uniform samples will have $\langle \mathbf{v}, \mathbf{w} \rangle$ distributed uniformly over \mathbb{Z}_q , allowing us to distinguish between the two cases.

Exercise 4 - Dual-Regev Encryption scheme

We first define the Dual-Regev encryption scheme.

Definition 1 (Dual-Regev Encryption). *Let n, m , and q be integers such that q is prime and $m \geq O(n \log q)$, and let α, γ be real numbers.*

DualRegev.KeyGen(n, m): *Sample \mathbf{A} uniform in $\mathbb{Z}_q^{m \times n}$, and \mathbf{x} discrete Gaussian on \mathbb{Z}^m of parameter γq . The secret key is $\mathbf{sk} = \mathbf{x}$ and the public key is $\mathbf{pk} = \mathbf{y}^T = \mathbf{x}^T \mathbf{A} \bmod q$ in \mathbb{Z}_q^n .*

DualRegev.Enc(M, \mathbf{pk}): *Given $M \in \{0, 1\}$, sample $\mathbf{s} \leftarrow U(\mathbb{Z}_q^n)$, $\mathbf{e} \leftarrow D_{\mathbb{Z}^m, \alpha q}$ and $e' \leftarrow D_{\mathbb{Z}, \alpha q}$. The ciphertext is $(\mathbf{A}\mathbf{s} + \mathbf{e}, \mathbf{y}^T \mathbf{s} + e' + \lfloor q/2 \rfloor \cdot M) \in \mathbb{Z}_q^m \times \mathbb{Z}_q$.*

DualRegev.Dec($(\mathbf{b}, c), \mathbf{sk}$): *Given a ciphertext (\mathbf{b}, c) , compute ... ?*

1. Give the decryption algorithm, what do you compute, and how do you find M ?

Solution: The decryption algorithm works as follows:

$\text{DualRegev.Dec}((\mathbf{b}, c), \text{sk} = \mathbf{x})$:

- (a) Compute $b' = c - \mathbf{x}^T \mathbf{b} \pmod{q}$
- (b) Output $M = 0$ if b' is closer to 0 than to $\lfloor q/2 \rfloor$ (i.e., if $|b'| < q/10$)
- (c) Output $M = 1$ if b' is closer to $\lfloor q/2 \rfloor$ than to 0 (i.e., if $|b' - \lfloor q/2 \rfloor| < q/10$)

This works because:

$$\begin{aligned} b' &= c - \mathbf{x}^T \mathbf{b} = (\mathbf{y}^T \mathbf{s} + e' + \lfloor q/2 \rfloor \cdot M) - \mathbf{x}^T (\mathbf{A} \mathbf{s} + \mathbf{e}) \\ &= \mathbf{y}^T \mathbf{s} + e' + \lfloor q/2 \rfloor \cdot M - \mathbf{x}^T \mathbf{A} \mathbf{s} - \mathbf{x}^T \mathbf{e} \\ &= e' - \mathbf{x}^T \mathbf{e} + \lfloor q/2 \rfloor \cdot M \end{aligned}$$

where we used the fact that $\mathbf{y}^T = \mathbf{x}^T \mathbf{A} \pmod{q}$.

2. What is the condition between α , γ and q to make sure the scheme is correct?

Solution: For correct decryption, we need $|e' - \mathbf{x}^T \mathbf{e}| < q/10$ to ensure we can distinguish between the cases $M = 0$ and $M = 1$.

To analyze this, we define:

$$\tilde{\mathbf{e}} = \begin{pmatrix} e' \\ -\mathbf{e} \end{pmatrix} \in \mathbb{Z}^{m+1}, \quad \tilde{\mathbf{x}} = \begin{pmatrix} 1 \\ \mathbf{x} \end{pmatrix} \in \mathbb{Z}^{m+1}$$

Then $e' - \mathbf{x}^T \mathbf{e} = \tilde{\mathbf{x}}^T \tilde{\mathbf{e}}$, and we can bound:

$$|e' - \mathbf{x}^T \mathbf{e}| = |\tilde{\mathbf{x}}^T \tilde{\mathbf{e}}| \leq \|\tilde{\mathbf{x}}\| \cdot \|\tilde{\mathbf{e}}\|$$

Following the same approach as in Exercise 3, we use Lemma 3.1 from [GPV08] to establish that the smoothing parameter $\eta_\epsilon(\mathbb{Z}^m) \leq \omega(\sqrt{\log m})$. Then, if we set $\alpha q \geq \omega(\sqrt{\log m})$ and $\gamma q \geq \omega(\sqrt{\log m})$, we can apply Lemma 2.9 from [GPV08] to obtain that with overwhelming probability:

- $\|\tilde{\mathbf{e}}\| \leq \alpha q \sqrt{m+1}$ (since $\tilde{\mathbf{e}}$ has distribution $D_{\mathbb{Z}^{m+1}, \alpha q}$)
- $\|\mathbf{x}\| \leq \gamma q \sqrt{m}$ (since $\mathbf{x} \leftarrow D_{\mathbb{Z}^m, \gamma q}$)

Since $\|\tilde{\mathbf{x}}\|^2 = 1 + \|\mathbf{x}\|^2$, we have:

$$\|\tilde{\mathbf{x}}\| = \sqrt{1 + \|\mathbf{x}\|^2} \leq \sqrt{1 + \gamma^2 q^2 m}$$

Therefore:

$$|e' - \mathbf{x}^T \mathbf{e}| \leq \sqrt{1 + \gamma^2 q^2 m} \cdot \alpha q \sqrt{m+1}$$

For large $\gamma q \sqrt{m}$, we can approximate $\sqrt{1 + \gamma^2 q^2 m} \approx \gamma q \sqrt{m}$, giving:

$$|e' - \mathbf{x}^T \mathbf{e}| \lesssim \gamma q \sqrt{m} \cdot \alpha q \sqrt{m+1} \approx \alpha \gamma q^2 m$$

For correctness, we require:

$$\alpha \gamma q^2 m < \frac{q}{10}$$

Correctness Condition:

$$\boxed{\alpha \gamma q < \frac{1}{10m}}$$

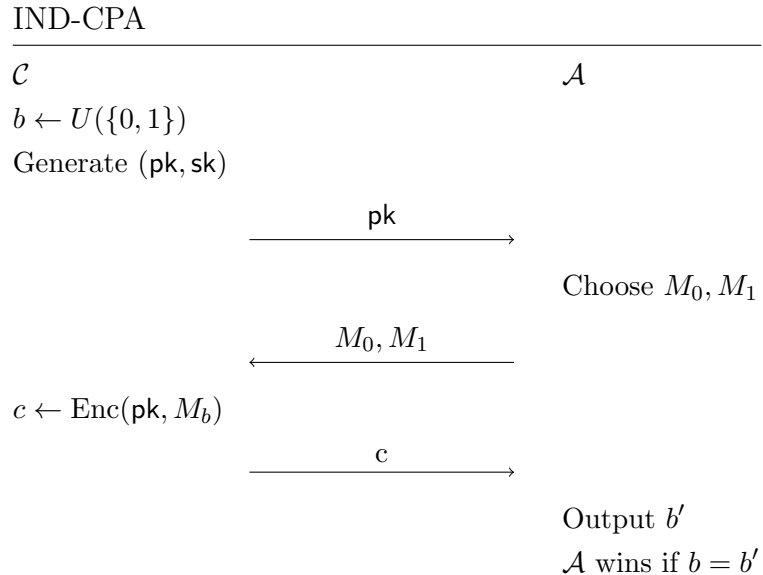
This condition ensures correct decryption with overwhelming probability, assuming $\alpha q \geq \omega(\sqrt{\log m})$ and $\gamma q \geq \omega(\sqrt{\log m})$.

3. Show that the distribution of the public key is statistically close to the uniform distribution in \mathbb{Z}_q^n .

Solution: The public key in the Dual-Regev encryption scheme is $\mathbf{y}^T = \mathbf{x}^T \mathbf{A} \bmod q$ where $\mathbf{x} \leftarrow D_{\mathbb{Z}^m, \gamma q}$. By Corollary 5.4 from [GPV08], for all but a $2q^{-n}$ fraction of $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$ and for parameter $\gamma q \geq \omega(\sqrt{\log m})$ (as required in part 4.2 for correctness), the distribution of $\mathbf{x}^T \mathbf{A} \bmod q$ for $\mathbf{x} \leftarrow D_{\mathbb{Z}^m, \gamma q}$ is statistically close to uniform over \mathbb{Z}_q^n .

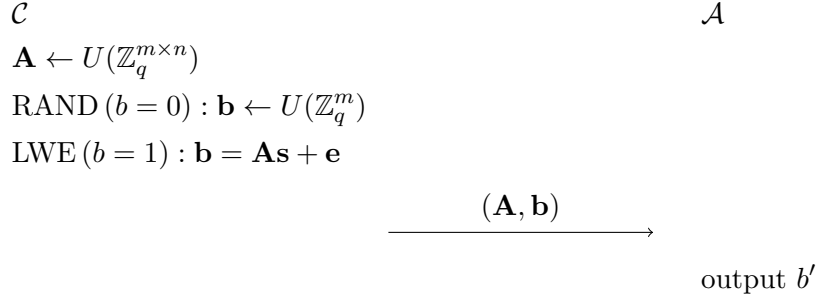
4. Prove that the Dual-Regev encryption scheme is IND-CPA secure under the hardness of the LWE problem.

Solution: We prove that the Dual-Regev encryption scheme is IND-CPA secure by reduction from the decisional LWE problem. We show that if there exists an adversary \mathcal{A} that breaks the IND-CPA security of Dual-Regev with non-negligible advantage ε , then we can construct an algorithm \mathcal{B} that solves the decisional LWE problem with the same advantage ε . The precise definition of IND-CPA and LWE protocol we give below.



$$\text{Adv}_{\mathcal{A}}^{\text{IND-CPA}} = \left| \Pr[\mathcal{A} \text{ wins}] - \frac{1}{2} \right|$$

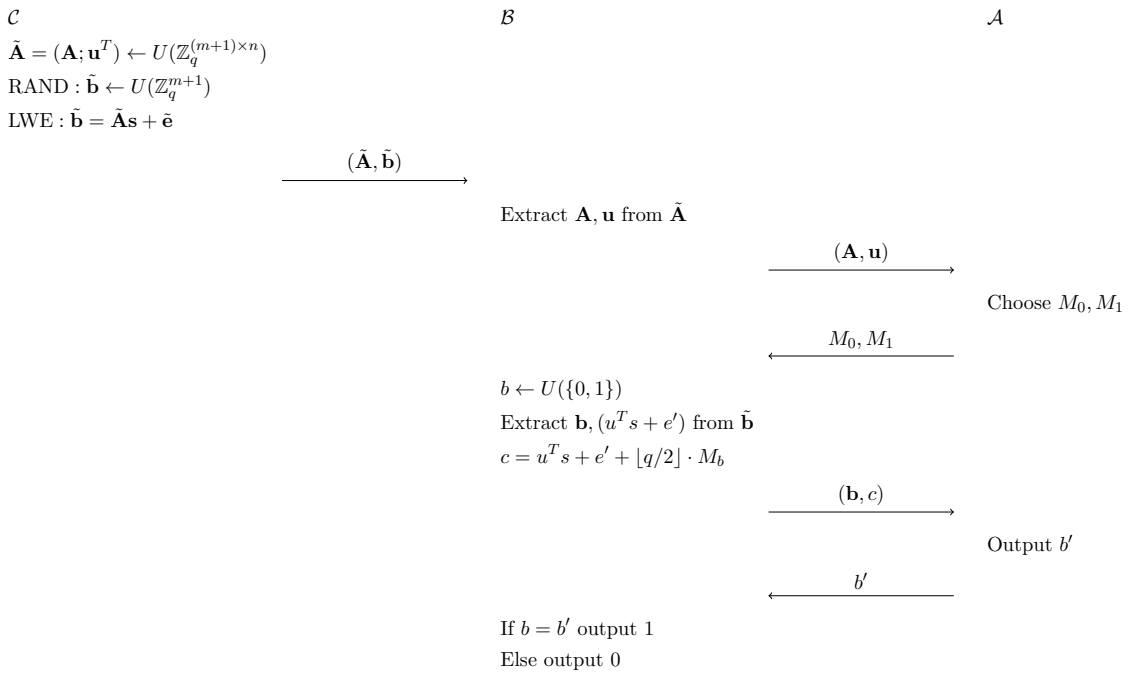
LWE



$$\text{Adv}_{\mathcal{A}}^{\text{lwe}} = \left| \Pr[\mathcal{A} \xrightarrow{\text{RAND}} 1] - \Pr[\mathcal{A} \xrightarrow{\text{LWE}} 1] \right|$$

Suppose there exists a PPT adversary \mathcal{A} that breaks the IND-CPA security of Dual-Regev with non-negligible advantage ε . We construct a PPT algorithm \mathcal{B} that solves the decisional LWE problem with advantage ε . The exact algorithm can be seen below

Reduction Protocol



The reduction \mathcal{B} receives a decisional LWE challenge $(\tilde{\mathbf{A}}, \tilde{\mathbf{b}})$ where $\tilde{\mathbf{A}} \in \mathbb{Z}_q^{(m+1) \times n}$ is uniformly random, and $\tilde{\mathbf{b}} \in \mathbb{Z}_q^{m+1}$ is either:

- RAND case: $\tilde{\mathbf{b}} \leftarrow U(\mathbb{Z}_q^{m+1})$ (uniformly random)
- LWE case: $\tilde{\mathbf{b}} = \tilde{\mathbf{A}}\mathbf{s} + \tilde{\mathbf{e}}$ for some secret $\mathbf{s} \in \mathbb{Z}_q^n$ and error $\tilde{\mathbf{e}} \leftarrow D_{\mathbb{Z}^{m+1}, \alpha q}$

\mathcal{B} simulates the IND-CPA game for \mathcal{A} as follows:

- (a) **Key Generation:** \mathcal{B} parses $\tilde{\mathbf{A}} = \begin{pmatrix} \mathbf{A} \\ \mathbf{u}^T \end{pmatrix}$ where $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$ and $\mathbf{u} \in \mathbb{Z}_q^n$. It sends the public key $\mathbf{pk} = (\mathbf{A}, \mathbf{u})$ to \mathcal{A} .
- (b) **Challenge:** \mathcal{A} sends two messages $M_0, M_1 \in \{0, 1\}$. \mathcal{B} chooses a random bit $b \leftarrow U(\{0, 1\})$.
- (c) **Ciphertext Generation:** \mathcal{B} parses $\tilde{\mathbf{b}} = \begin{pmatrix} \mathbf{b} \\ v \end{pmatrix}$ where $\mathbf{b} \in \mathbb{Z}_q^m$ and $v \in \mathbb{Z}_q$. It computes:
$$c = v + \lfloor q/2 \rfloor \cdot M_b$$
and sends the ciphertext (\mathbf{b}, c) to \mathcal{A} .
- (d) **Output:** \mathcal{A} outputs a bit b' . If $b = b'$, then \mathcal{B} outputs 1 (guessing LWE); otherwise, it outputs 0 (guessing RAND).

Analysis:

Case 1: LWE instance. When $\tilde{\mathbf{b}} = \tilde{\mathbf{A}}\mathbf{s} + \tilde{\mathbf{e}}$, we have:

$$\tilde{\mathbf{b}} = \begin{pmatrix} \mathbf{A} \\ \mathbf{u}^T \end{pmatrix} \mathbf{s} + \begin{pmatrix} \mathbf{e} \\ e' \end{pmatrix} = \begin{pmatrix} \mathbf{A}\mathbf{s} + \mathbf{e} \\ \mathbf{u}^T \mathbf{s} + e' \end{pmatrix}$$

Therefore, $\mathbf{b} = \mathbf{A}\mathbf{s} + \mathbf{e}$ and $v = \mathbf{u}^T \mathbf{s} + e'$. The ciphertext is:

$$(\mathbf{b}, c) = (\mathbf{A}\mathbf{s} + \mathbf{e}, \mathbf{u}^T \mathbf{s} + e' + \lfloor q/2 \rfloor \cdot M_b)$$

This is exactly a valid Dual-Regev encryption of M_b under public key (\mathbf{A}, \mathbf{u}) with randomness \mathbf{s} and error terms \mathbf{e}, e' . Since \mathbf{u} is uniformly random (as part of $\tilde{\mathbf{A}}$), by the result from Exercise 4.3, the public key distribution is statistically close to that of the real Dual-Regev scheme.

Therefore, \mathcal{A} receives a perfect simulation of the IND-CPA game and outputs $b' = b$ with probability $\frac{1}{2} + \varepsilon$.

Case 2: RAND instance. When $\tilde{\mathbf{b}}$ is uniformly random, both \mathbf{b} and v are uniformly random and independent. In particular, v is uniform over \mathbb{Z}_q , so:

$$c = v + \lfloor q/2 \rfloor \cdot M_b$$

is uniformly distributed over \mathbb{Z}_q regardless of the value of M_b . The ciphertext reveals no information about b , so \mathcal{A} can only guess randomly. Thus, $\Pr[b' = b] = \frac{1}{2}$.

Advantage Calculation:

$$\begin{aligned} \text{Adv}_{\mathcal{B}}^{\text{lwe}} &= |\Pr[\mathcal{B} \rightarrow 1 \mid \text{LWE}] - \Pr[\mathcal{B} \rightarrow 1 \mid \text{RAND}]| \\ &= |\Pr[b' = b \mid \text{LWE}] - \Pr[b' = b \mid \text{RAND}]| \\ &= \left| \left(\frac{1}{2} + \varepsilon \right) - \frac{1}{2} \right| \\ &= \varepsilon \end{aligned}$$

Since ε is non-negligible by assumption, \mathcal{B} solves the decisional LWE problem with non-negligible advantage, contradicting the hardness of LWE. Therefore, no such adversary \mathcal{A} can exist, and the Dual-Regev encryption scheme is IND-CPA secure under the LWE assumption.

Exercise 5

Let $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ be a matrix specifying the q -ary lattice $\Lambda_q^\perp(\mathbf{A}) = \{\mathbf{x} \in \mathbb{Z}^m : \mathbf{A}\mathbf{x} = \mathbf{0} \bmod q\}$. You may assume throughout this problem that q is prime (but it is not a necessary hypothesis).

Note that \mathbf{A} is the transpose of the matrix $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$ used during the lecture.

Note: The solutions to parts 1-3 follow closely the development in [Pei22], particularly the results on equivalent lattice representations and canonical basis construction for SIS lattices.

1. Describe an efficient algorithm that finds an n -by- n submatrix of \mathbf{A} which is invertible over \mathbb{Z}_q if one exists. (For uniformly random matrix \mathbf{A} and typically used m , it can be shown that such a submatrix exists with high probability). Also argue that this invertible submatrix can be moved to the first n columns of \mathbf{A} , without essentially changing the lattice.

Solution: To find an $n \times n$ invertible submatrix of $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$:

Algorithm:

- (a) Compute the reduced row echelon form (RREF) of \mathbf{A} over \mathbb{Z}_q
- (b) Identify the pivot columns (columns with leading non-zero entries)
- (c) If there are at least n pivot columns, the first n pivot columns form an invertible $n \times n$ submatrix

Since q is prime, \mathbb{Z}_q is a field, so the pivot columns are linearly independent. An $n \times n$ matrix over a field is invertible if and only if its columns are linearly independent.

To move this invertible submatrix to the first n columns, let the pivot columns have indices $\{i_1, \dots, i_n\}$. Construct a permutation matrix \mathbf{P} that moves these columns to positions $1, \dots, n$. Then $\mathbf{A}' = \mathbf{A}\mathbf{P}$ has the form $[\mathbf{H}|\mathbf{B}]$ where $\mathbf{H} \in \mathbb{Z}_q^{n \times n}$ is the invertible submatrix and $\mathbf{B} \in \mathbb{Z}_q^{n \times (m-n)}$ contains the remaining columns.

To show this doesn't essentially change the lattice, we state and prove the following lemma:

Lemma 2 ([Pei22, Lemma 1.3]). *For any invertible matrix $\mathbf{T} \in \mathbb{Z}_q^{m \times m}$, we have*

$$\Lambda_q^\perp(\mathbf{A} \cdot \mathbf{T}) = \mathbf{T}^{-1} \cdot \Lambda_q^\perp(\mathbf{A})$$

Proof. We show both set containments.

(\subseteq) Let $\mathbf{x} \in \Lambda_q^\perp(\mathbf{A} \cdot \mathbf{T})$. Then $(\mathbf{A} \cdot \mathbf{T})\mathbf{x} = \mathbf{0} \pmod{q}$. Let $\mathbf{y} = \mathbf{T}\mathbf{x}$. Then

$$\mathbf{A}\mathbf{y} = \mathbf{A}(\mathbf{T}\mathbf{x}) = (\mathbf{A} \cdot \mathbf{T})\mathbf{x} = \mathbf{0} \pmod{q}$$

so $\mathbf{y} \in \Lambda_q^\perp(\mathbf{A})$. Since $\mathbf{x} = \mathbf{T}^{-1}\mathbf{y}$, we have $\mathbf{x} \in \mathbf{T}^{-1} \cdot \Lambda_q^\perp(\mathbf{A})$.

(\supseteq) Let $\mathbf{x} \in \mathbf{T}^{-1} \cdot \Lambda_q^\perp(\mathbf{A})$. Then $\mathbf{x} = \mathbf{T}^{-1}\mathbf{y}$ for some $\mathbf{y} \in \Lambda_q^\perp(\mathbf{A})$. We have

$$(\mathbf{A} \cdot \mathbf{T})\mathbf{x} = (\mathbf{A} \cdot \mathbf{T})(\mathbf{T}^{-1}\mathbf{y}) = \mathbf{A}\mathbf{y} = \mathbf{0} \pmod{q}$$

so $\mathbf{x} \in \Lambda_q^\perp(\mathbf{A} \cdot \mathbf{T})$. ■

For a permutation matrix \mathbf{P} is invertible matrix. Therefore, $\Lambda_q^\perp(\mathbf{A}\mathbf{P}) = \mathbf{P}^{-1} \cdot \Lambda_q^\perp(\mathbf{A})$ is simply a coordinate permutation of $\Lambda_q^\perp(\mathbf{A})$, preserving all essential geometric properties like determinant and successive minima.

2. Prove that the invertible submatrix can be replaced by the identity matrix \mathbf{I}_n , possibly changing the rest of \mathbf{A} as well, without changing the lattice.

Solution: Given $\mathbf{A} = [\mathbf{H}|\mathbf{A}']$ where $\mathbf{H} \in \mathbb{Z}_q^{n \times n}$ is invertible and $\mathbf{A}' \in \mathbb{Z}_q^{n \times (m-n)}$, we can transform it to $[\mathbf{I}_n|\tilde{\mathbf{A}}]$ without changing the lattice.

Lemma 3 ([Pei22, Lemma 1.2]). *Let $\mathbf{H} \in \mathbb{Z}_q^{n \times n}$ be invertible. Then*

$$\Lambda_q^\perp(\mathbf{H} \cdot \mathbf{A}) = \Lambda_q^\perp(\mathbf{A})$$

Proof. (\subseteq) Let $\mathbf{x} \in \Lambda_q^\perp(\mathbf{H} \cdot \mathbf{A})$. Then $(\mathbf{H} \cdot \mathbf{A})\mathbf{x} = \mathbf{0} \pmod{q}$, which gives $\mathbf{H}(\mathbf{A}\mathbf{x}) = \mathbf{0} \pmod{q}$. Since \mathbf{H} is invertible over \mathbb{Z}_q , multiplying both sides by \mathbf{H}^{-1} yields $\mathbf{A}\mathbf{x} = \mathbf{0} \pmod{q}$, so $\mathbf{x} \in \Lambda_q^\perp(\mathbf{A})$.

(\supseteq) Let $\mathbf{x} \in \Lambda_q^\perp(\mathbf{A})$. Then $\mathbf{A}\mathbf{x} = \mathbf{0} \pmod{q}$. Therefore, $(\mathbf{H} \cdot \mathbf{A})\mathbf{x} = \mathbf{H}(\mathbf{A}\mathbf{x}) = \mathbf{H} \cdot \mathbf{0} = \mathbf{0} \pmod{q}$, so $\mathbf{x} \in \Lambda_q^\perp(\mathbf{H} \cdot \mathbf{A})$. ■

Using Lemma 3, we can left-multiply $\mathbf{A} = [\mathbf{H}|\mathbf{A}']$ by \mathbf{H}^{-1} to obtain:

$$\mathbf{H}^{-1} \cdot \mathbf{A} = \mathbf{H}^{-1} \cdot [\mathbf{H}|\mathbf{A}'] = [\mathbf{H}^{-1}\mathbf{H}|\mathbf{H}^{-1}\mathbf{A}'] = [\mathbf{I}_n|\tilde{\mathbf{A}}]$$

where $\tilde{\mathbf{A}} = \mathbf{H}^{-1}\mathbf{A}' \in \mathbb{Z}_q^{n \times (m-n)}$.

By Lemma 3, we have:

$$\Lambda_q^\perp([\mathbf{I}_n|\tilde{\mathbf{A}}]) = \Lambda_q^\perp(\mathbf{H}^{-1} \cdot \mathbf{A}) = \Lambda_q^\perp(\mathbf{A})$$

Therefore, the lattice remains unchanged when we replace the invertible submatrix \mathbf{H} with the identity matrix \mathbf{I}_n (and update the remaining columns accordingly).

3. Using the previous parts, describe how to efficiently compute a basis of $\Lambda_q^\perp(\mathbf{A})$.

Hint: if $\mathbf{A} = [\mathbf{I}_n | \tilde{\mathbf{A}}]$, then show that the n columns of $\begin{pmatrix} q\mathbf{I}_n \\ \mathbf{0} \end{pmatrix}$ are vectors in $\Lambda_q^\perp(\mathbf{A})$. Find $m - n$ more columns and prove that all m columns together form a basis \mathbf{B} of $\Lambda_q^\perp(\mathbf{A})$, i.e. that $\mathbf{B} \cdot \mathbb{Z}^m = \Lambda_q^\perp(\mathbf{A})$.

Solution: Following the canonical basis construction from [Pei22], we construct a basis for $\Lambda_q^\perp(\mathbf{A})$ when $\mathbf{A} = [\mathbf{I}_n | \tilde{\mathbf{A}}]$ where $\tilde{\mathbf{A}} \in \mathbb{Z}_q^{n \times (m-n)}$.

Consider the following matrix:

$$\mathbf{B} = \begin{pmatrix} q\mathbf{I}_n & -\tilde{\mathbf{A}} \\ \mathbf{0} & \mathbf{I}_{m-n} \end{pmatrix} \in \mathbb{Z}^{m \times m}$$

where $-\tilde{\mathbf{A}}$ represents any integer matrix whose entries reduce to $-\tilde{\mathbf{A}} \pmod{q}$ (e.g., with entries in $\{0, 1, \dots, q-1\}$).

We verify that \mathbf{B} is a basis of $\Lambda_q^\perp(\mathbf{A})$:

1. Linear Independence: The matrix \mathbf{B} is upper triangular with non-zero diagonal entries (q in the first n positions and 1 in the remaining $m - n$ positions), hence its columns are linearly independent.

2. Columns belong to the lattice: For each column \mathbf{b}_j of \mathbf{B} , we verify that $\mathbf{A}\mathbf{b}_j = \mathbf{0} \pmod{q}$:

- For $j \leq n$: The j -th column is $(0, \dots, 0, q, 0, \dots, 0)^T$ with q in position j .

$$[\mathbf{I}_n | \tilde{\mathbf{A}}] \cdot \mathbf{b}_j = q \cdot \mathbf{e}_j = \mathbf{0} \pmod{q}$$

- For $j > n$: The j -th column has the form $(-\tilde{\mathbf{a}}_{j-n}, \mathbf{e}_{j-n})^T$ where $\tilde{\mathbf{a}}_{j-n}$ is the $(j - n)$ -th column of $\tilde{\mathbf{A}}$.

$$[\mathbf{I}_n | \tilde{\mathbf{A}}] \cdot \mathbf{b}_j = -\tilde{\mathbf{a}}_{j-n} + \tilde{\mathbf{a}}_{j-n} = \mathbf{0} \pmod{q}$$

Complete Algorithm:

- Find an invertible $n \times n$ submatrix of \mathbf{A} using RREF (part 1)
 - Use column permutation to move it to the first n columns: $\mathbf{A}' = \mathbf{A}\mathbf{P}$
 - Transform to systematic form: $[\mathbf{I}_n | \tilde{\mathbf{A}}] = \mathbf{H}^{-1}\mathbf{A}'$ (part 2)
 - Output the basis $\mathbf{B} = \begin{pmatrix} q\mathbf{I}_n & -\tilde{\mathbf{A}} \\ \mathbf{0} & \mathbf{I}_{m-n} \end{pmatrix}$
 - Transform back: the basis for the original lattice is $\mathbf{P}\mathbf{B}$
4. Recall that the SIS problem is to find a short nonzero solution to $\mathbf{A}\mathbf{z} = \mathbf{0} \pmod{q}$ for uniformly random \mathbf{A} . Using the previous parts, prove that the following problem is

at least as hard as SIS: given uniformly random \mathbf{A}' , find a short nonzero solution to $\mathbf{A}'\mathbf{z} = \mathbf{e} \pmod{q}$ where $\mathbf{e} \in \mathbb{Z}^n$ is any short vector of the attacker's choice.

Hint: the number of columns needed could not be the same in \mathbf{A} and \mathbf{A}' .

Solution: We prove that the Inhomogeneous SIS (ISIS) problem is at least as hard as SIS by giving a reduction from SIS to ISIS.

ISIS Problem: Given uniformly random $\mathbf{A}' \in \mathbb{Z}_q^{n \times m'}$, find a short nonzero $\mathbf{z}' \in \mathbb{Z}^{m'}$ such that $\mathbf{A}'\mathbf{z}' = \mathbf{e} \pmod{q}$ where $\mathbf{e} \in \mathbb{Z}^n$ is any short vector of the attacker's choice, and $\|\mathbf{z}'\| \leq \beta'$.

Reduction: Given a SIS instance with uniformly random $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ and bound β , we construct an algorithm that uses an ISIS solver to find a short nonzero \mathbf{z} such that $\mathbf{A}\mathbf{z} = \mathbf{0} \pmod{q}$ and $\|\mathbf{z}\| \leq \beta$.

- (a) **Partition the matrix:** Choose some $m' < m$ and partition $\mathbf{A} = [\mathbf{A}_1 | \mathbf{A}_2]$ where $\mathbf{A}_1 \in \mathbb{Z}_q^{n \times m'}$ and $\mathbf{A}_2 \in \mathbb{Z}_q^{n \times (m-m')}$. Since \mathbf{A} is uniformly random, both \mathbf{A}_1 and \mathbf{A}_2 are uniformly random over their respective domains.
- (b) **Sample a short vector:** Sample a random short vector $\mathbf{z}_2 \in \mathbb{Z}^{m-m'}$ with $\|\mathbf{z}_2\| \leq \beta_2$ for some parameter $\beta_2 > 0$.
- (c) **Compute target vector:** Compute $\mathbf{e} = -\mathbf{A}_2\mathbf{z}_2 \pmod{q}$.
- (d) **Call ISIS solver:** Use the ISIS solver on instance $(\mathbf{A}_1, \mathbf{e})$ to find $\mathbf{z}_1 \in \mathbb{Z}^{m'}$ such that $\mathbf{A}_1\mathbf{z}_1 = \mathbf{e} \pmod{q}$ and $\|\mathbf{z}_1\| \leq \beta_1$ for some parameter $\beta_1 > 0$.
- (e) **Construct SIS solution:** Output $\mathbf{z} = \begin{pmatrix} \mathbf{z}_1 \\ \mathbf{z}_2 \end{pmatrix} \in \mathbb{Z}^m$.

Correctness: We verify that \mathbf{z} is a valid SIS solution:

$$\begin{aligned}
\mathbf{A}\mathbf{z} &= [\mathbf{A}_1 | \mathbf{A}_2] \begin{pmatrix} \mathbf{z}_1 \\ \mathbf{z}_2 \end{pmatrix} \\
&= \mathbf{A}_1\mathbf{z}_1 + \mathbf{A}_2\mathbf{z}_2 \\
&= \mathbf{e} + \mathbf{A}_2\mathbf{z}_2 \\
&= -\mathbf{A}_2\mathbf{z}_2 + \mathbf{A}_2\mathbf{z}_2 \\
&= \mathbf{0} \pmod{q}
\end{aligned}$$

For the norm bound, we have:

$$\|\mathbf{z}\| = \left\| \begin{pmatrix} \mathbf{z}_1 \\ \mathbf{z}_2 \end{pmatrix} \right\| = \sqrt{\|\mathbf{z}_1\|^2 + \|\mathbf{z}_2\|^2} \leq \sqrt{\beta_1^2 + \beta_2^2}$$

To ensure $\|\mathbf{z}\| \leq \beta$, we need to choose β_1 and β_2 such that:

$$\beta_1^2 + \beta_2^2 \leq \beta^2$$

This reduction shows that if we can efficiently solve ISIS with bound β_1 (finding short solutions to inhomogeneous systems), then we can efficiently solve SIS with bound β . Therefore, ISIS is at least as hard as SIS.

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